



## Advances in Concurrency in the Ptolemy Project

**Edward A. Lee**

**UC Berkeley  
Dept. of EECS**

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## Some Models of Computation

- Gears
- Imperative languages
- Petri nets
- Synchronous dataflow
- Dynamic dataflow
- Process networks
- Concrete data structures
- Discrete-events
- Synchronous/Reactive languages
- Communicating sequential processes
- Finite state machines
- Hierarchical communicating finite state machines

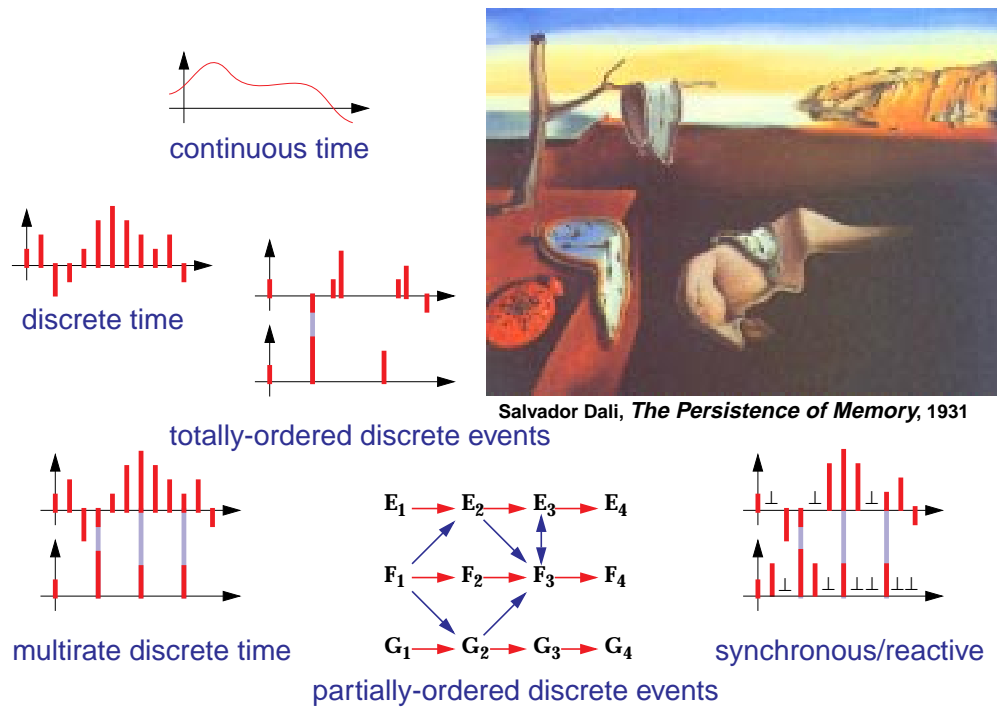


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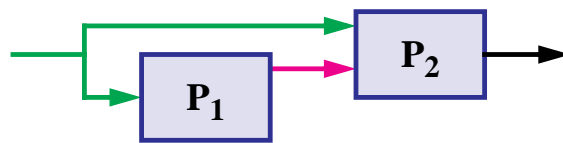
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## What is Time?

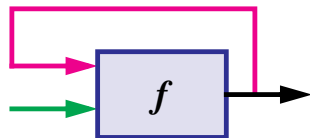


## Totally-Ordered Discrete-Event Models

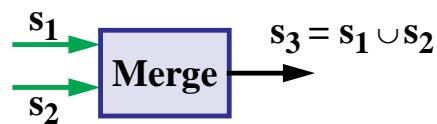
Examples of the sorts of problems that arise from a computerized model of physical time:



What if  $P_1$  is causal but not strictly causal?



What does this mean?



What if  $s_1$  and  $s_2$  have synchronous events?

## The Tagged Signal Model

A mathematical framework within which the essential properties of models of computation can be understood and compared.

**A denotational framework.**

## Events and Signals

Abstractions of *time* give us tools to deal with these questions.

- set of *values*  $V$
- set of *tags*  $T$
- an event  $e \in T \times V$
- a *signal* is a set of events
- a *functional signal* is a (partial) function  $s: T \rightarrow V$
- the set of all signals  $S = \wp(T \times V)$  (the powerset)
- $N$ -tuples of signals  $s \in S^N$

## Possible Interpretations of Tags

- **Universal time** ( $T = \mathfrak{R}$ )
- **Discrete time** ( $T$  is a *totally ordered* discrete set)
- **Precedences** ( $T$  is a *partially ordered* discrete set)

**Why not always use the “most physical” model:  
universal time?**

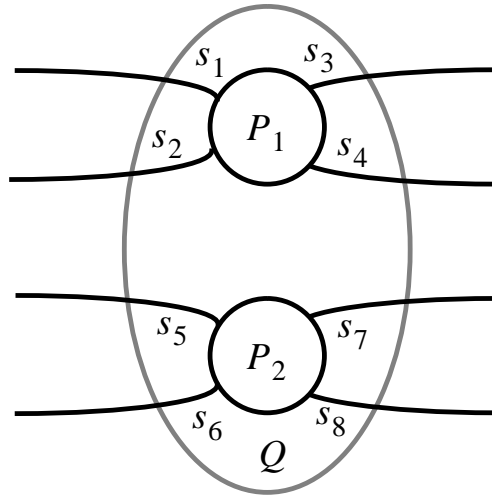
- **In specifying systems, avoid over-specifying.**
- **In modeling systems, recognize the inherent difficulty of maintaining a globally consistent notion of time.**

## Processes and Connections

### Processes

- a **process**  $P \subseteq S^N$  for some  $N$
- a **behavior**  $s \in P$  ( $s$  *satisfies* the process)
- a **process is a set of possible behaviors**

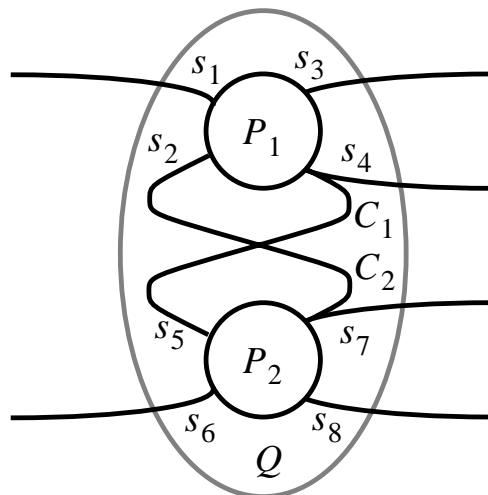
## Composing Independent Processes



$$Q = P_1 \times P_2 \subseteq S^8$$

## Composing Interacting Processes

A **connection**  $C \subset S^N : \mathbf{s} = (s_1, \dots, s_N) \in C \Leftrightarrow s_i = s_j$



$$Q = (P_1 \times P_2) \cap C_1 \cap C_2$$

## Projections and Composition

Let  $I = (i_1, \dots, i_m)$  be an ordered set of indexes in the range  $1 \leq i \leq N$ , and define the **projection**  $\pi_I(\mathbf{s})$  of

$\mathbf{s} = (s_1, \dots, s_N) \subseteq S^N$  onto  $S^m$  by

$$\pi_I(\mathbf{s}) = (s_{i_1}, \dots, s_{i_m})$$

Using projection and tensor products, a composition of processes can always be given as an intersection of sets of behaviors:

$$Q = \left( \bigcap_{P_i \in \mathbf{P}} P_i \right)$$

## Inputs

- An **input** to a process is an externally imposed constraint  $A \subseteq S^N$  such that  $A \cap P$  is the total set of acceptable behaviors.
- The **set of all possible inputs**  $B \subseteq \wp(S^N)$  is a further characterization of a process.

**Example:** for a process  $P \subseteq S^N$  with  $m$  input signals having indexes in the set  $I$ , each element  $A \in B$  is a set of tuples of signals  $\{\mathbf{s} : \pi_I(\mathbf{s}) = \mathbf{s}'\}$  for some  $\mathbf{s}' \in S^m$

## Determinacy

A process is *determinate* if for all inputs  $A \in B$ ,

$$|A \cap P| = 1 \text{ or } |A \cap P| = 0.$$

## Functional Processes

### Inputs and Outputs

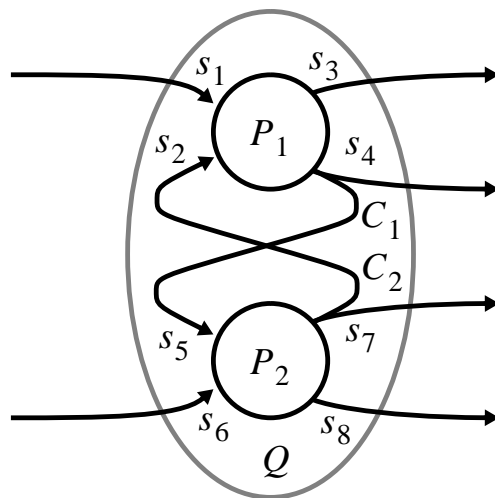
- an index set  $I$  for  $m$  input signals and
- an index set  $O$  for  $n$  output signals.

A process  $P$  is *functional* with respect to  $(I, O)$  if for every  $s \in P$  and  $s' \in P$  where  $\pi_I(s) = \pi_I(s')$ , it follows that  $\pi_O(s) = \pi_O(s')$ .

For such a process, there is a single-valued mapping  $F: S^m \rightarrow S^n$  such that for all  $s \in P$ ,  $\pi_O(s) = F(\pi_I(s))$ .

**Functional processes are determinate**

## Example



Suppose:

$P_1$  is functional with respect to  $(I, O) = (\{1, 2\}, \{3, 4\})$ .

$P_2$  is functional with respect to  $(I, O) = (\{5, 6\}, \{7, 8\})$ .

Key question: is  $Q$  functional w.r.t.

$(I, O) = (\{1, 6\}, \{3, 4, 7, 8\})$ ? Answer: **It depends!**

## Partial Ordering of Tags and Events

- **Partially ordered:** there exists an irreflexive, antisymmetric, transitive relation “ $<$ ” between tags.
- Version of this relation: “ $\leq$ ”.
- Ordering of the tags  $\Rightarrow$  ordering of events. Given two events  $e_1 = (t_1, v_1)$  and  $e_2 = (t_2, v_2)$ ,  $e_1 < e_2 \Leftrightarrow t_1 < t_2$ .

## Timed Systems

- **Timed system:**  $T$  is totally ordered.
- **Metric time:**  $T$  is a metric space.



## Discrete Event Systems

Given a process  $Q$ , and a tuple of signals  $s \in Q$  that satisfies the process, let  $T(s)$  denote the set of tags (time stamps) appearing in any signal in the tuple  $s$ .

- A **discrete-event tag system** is where  $T$  is totally ordered, and for every process  $Q$  and every behavior  $s \in Q$ , there exists an order-preserving bijection from some subset of the integers to  $T(s)$ .

### Intuitively

Any pair of events in a signal have a finite number of intervening events.

## Causality in DE Systems (Intuitively)

- A **causal** process has a non-negative (but possibly zero) time delay from inputs to outputs.
- A **strictly causal** process has a positive time delay from inputs to outputs.
- A **delta causal** process has a time delay from inputs to outputs of at least  $\Delta$  for some constant  $\Delta > 0$ .

## A Metric Space for DE Signals

In a one-sided DE system, where WOLG  $T \subseteq [0, \infty)$ , define the *Cantor metric* to be

$$d(\mathbf{s}_1, \mathbf{s}_2) = \frac{1}{2^t}$$

where  $t$  is the smallest time where the two signals differ, or if  $\mathbf{s}_1 = \mathbf{s}_2$ , then  $d(\mathbf{s}_1, \mathbf{s}_2) = 0$ .

With this metric, behaviors of a discrete-event system become points in a metric space!

## Causality in the Cantor Metric Space

*Causality*:  $d(F(\mathbf{s}), F(\mathbf{s}')) \leq d(\mathbf{s}, \mathbf{s}')$ .

*Strict causality*:  $d(F(\mathbf{s}), F(\mathbf{s}')) < d(\mathbf{s}, \mathbf{s}')$ .

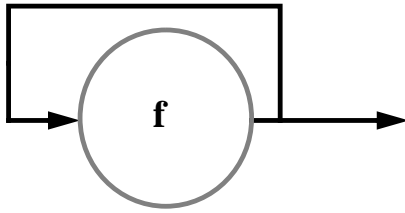
*Delta causality*: there exists a  $k < 1$  such that

$$d(F(\mathbf{s}), F(\mathbf{s}')) \leq kd(\mathbf{s}, \mathbf{s}')$$

$F$  is a *contraction mapping*.

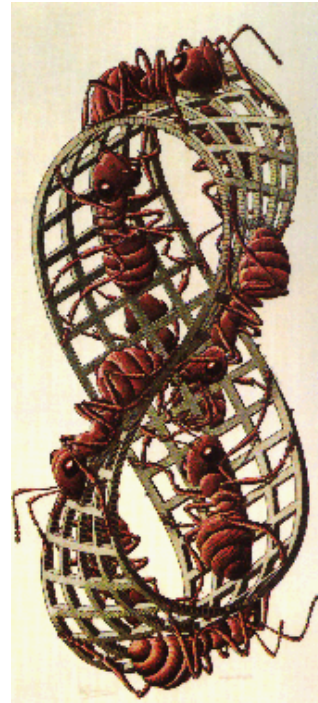
Note:  $k = \frac{1}{2^\Delta}$ .

## The Semantics of Feedback



For  $f:S \rightarrow S$ , define the *semantics* to be a **fixed point** of  $f$

i.e.  $s$  such that  $f(s) = s$ .



M. C. Escher, *Moebius Strip II*, 1963

## Fixed Point Theorems Applied to Discrete-Event Systems

- If  $f$  is strictly causal, then it has at most one fixed point. Hence the feedback composition is determinate.
- (***Banach fixed point theorem***) If the metric space is complete (it is) and  $f$  is delta causal, then it has exactly one fixed point, and that fixed point can be found by starting with any signal tuple  $s_0$  and finding the limit of:

$$s_1 = f(s_0), s_2 = f(s_1), s_3 = f(s_2), \dots$$

- If the metric space is compact (it is if  $V$  is a finite set and all signals are discrete-event), then  $f$  only needs to be strictly causal to apply the Banach fixed point theorem.

## Lessons

- If subsystems are delta causal, then the Banach fixed point theorem gives us a *constructive* way to find their *one unique* behavior.
- Specification languages often only insist on *strict causality* (VHDL, for example, has a so-called “delta time” model that, despite the similar name, only ensures strict causality).
- The set of VHDL signals is not compact.
- The lack of a constructive solution manifests itself in practice (VHDL simulators, for example, can get stuck, where time fails to advance).

## Related Models

- Fidge, 1991 (processes that can fork and join increment a counter on each event)
- Lamport, 1978 (gives a mechanism in which messages in an asynchronous system carry time stamps and processes manipulate these time stamps)
- Mattern, 1989 (vector time)
- Mazurkiewicz, 1984 (uses partial orders in developing an algebra of concurrent “objects” associated with “events”)
- Pratt, 1986 (generalizes the notion of formal string languages to allow partial ordering).
- Winskel 1993 (describes “event structures,” a closely related framework for concurrent systems).
- Yates, 1993 (works with  $\Delta$ -causal functional processes in a timed model with metric time).

## Conclusions

### Presented:

- The beginnings of a framework for understanding and comparing models of computation.
- A suite of mathematical techniques for analyzing intrinsic properties of these models of computation.

**This is an evolving model. Can be used to analyze**

- Dataflow
- Process networks
- Petri nets
- Rendezvous-based concurrency models
- ...